

Docket No. 283425US0PCT

20 MAR 2006 2CF

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Carole BAUBET, et al.

SERIAL NO: 10/562,121

GAU:

FILED: December 23, 2005

EXAMINER:

FOR: DIELECTRIC-LAYER-COATED SUBSTRATE AND INSTALLATION FOR PRODUCTION THEREOF



INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.
Norman F. Oblon

Norman F. Oblon

Registration No. 24,618

Corwin P. Umbach, Ph.D.
Registration No. 40,211

Surinder Sachar

Registration No. 34,423

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)



| | | | |
|---|---------------------------------|--------|------------------------|
| Docket No.: 283425US0PCT | | | Serial No.: 10/562,121 |
| LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56 | Inventor: Carole BAUBET, et al. | | |
| | Filing Date: December 23, 2005 | Group: | |

LIST OF RELATED CASES

| <u>Examiner Initial</u> | <u>Docket No.</u> | <u>Serial or Patent Number</u> | <u>Filing or Issue Date</u> | <u>Patent App. Publication No.</u> | <u>Inventor or Applicant</u> |
|-----------------------------|-------------------|------------------------------------|---------------------------------|--|----------------------------------|
| | 283425US0PCT* | 10/562,121 | 12/23/05 | | BAUBET, et al. |
| | 283429US0PCT | 10/562,451 | 12/27/05 | | BAUBET, et al. |
| | 283486US0PCT | 10/564,501 | 01/13/06 | | GIRON, et al. |
| | 283242US0PCT | 10/563,322 | 01/04/06 | | GIRON, et al. |

| | |
|----------|-----------------|
| Examiner | Date Considered |
|----------|-----------------|

*Present Application; listed for information
NFO/ach/kch

ATTY DOCKET NO.
283425US0PCT

MAR 20 2006

SHEET 1 OF 1

SERIAL NO.
10/562,121

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Carole BAUBET, et al.

FILING DATE

December 23, 2005

GROUP

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|--------------------|------------|-------------------------|-------|--------------|-------------------------------|
| | AA | 5 532 062 | 7/2/1996 | MIYAZAKI, Masami et al. | | | |
| | AB | 5 770 321 | 6/23/1998 | HARTIG, Klaus W. et al. | | | |
| | AC | 5 454 919 | 10/3/1995 | HILL, Roger et al. | | | |
| | AD | 6 190 511 | 2/20/2001 | WEI, David T. | | | |
| | AE | 6 086 727 | 7/11/2000 | PINARBASI, Mustafa | | | |
| | AF | 6 500 676 | 12/31/2002 | RAMBERG, Randy J. | | | |
| | AG | 5 962 080 | 11/5/1999 | TAN, Minshen et al. | | | |
| | AH | 6 002 208 | 12/14/1999 | MAISHEV, Yuri et al. | | | |
| | AI | | | | | | |
| | AJ | | | | | | |
| | AK | | | | | | |
| | AL | | | | | | |
| | AM | | | | | | |
| | AN | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION | |
|--|----|--------------------|-----------|---------|-------------|----|
| | | | | | YES | NO |
| | AO | 0 601 928 | 6/15/1994 | EP | | NO |
| | AP | 02/46491 | 6/13/2002 | WO | | NO |
| | AQ | | | | | |
| | AR | | | | | |
| | AS | | | | | |
| | AT | | | | | |
| | AU | | | | | |
| | AV | | | | | |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

| | | | | | | |
|--|----|--|--|--|--|--|
| | AW | MITARAY, S. et al, "PREPARATION DE COUCHES MINCES DE Ag ₂ O ET ACTION DE CERTAINS GAZ SUR CELLS-CI", The Solid Films, Vol. 46, No.2, Pages 201-208, 1977. | | | | |
| | AX | | | | | |
| | AY | | | | | |
| | AZ | | | | | <input type="checkbox"/> Additional References sheet(s) attached |

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.